

Essex, Southend & Thurrock Safeguarding Adults Boards Audit 2014-15 Audit Summary

Progress: **100%**

Score: **89%**

Allocation Date: **18-Sep-2014**

Deadline Date: **30-Jan-2015**

All dates/times shown are in the time zone: **(UTC) Dublin, Edinburgh, Lisbon, London**

	Allocated Audits	Status	Score	Grade
1	SENIOR LEVEL COMMITMENT TO SAFEGUARDING, AND ACCOUNTABILITY		84%	High
1.1	There is named person responsible for safeguarding vulnerable adults at the highest level within the organisation.	Complete	100%	
1.2	There is a strategic and/or corporate plan that references safeguarding vulnerable adults and is linked into relevant work streams.	Complete	75%	
1.3	There is regular and appropriate level attendance and effective contributions to the Safeguarding Boards, their sub groups/committees and consultations.	Complete	90%	
1.4	The organisation adequately apportions resources to meet its responsibilities with regard to adult safeguarding.	Complete	100%	
1.5	There are clear lines of accountability for staff through the organisation to named person/s.	Complete	95%	
1.6	Systems are in place to embed safeguarding across all commissioning/contracted services.	Complete	45%	
2	CORE SAFEGUARDING POLICIES AND PROCEDURES		88%	High
2.1	The organisation has a safeguarding adults policy and procedure in place.	Complete	100%	
2.2	E-safety policies and procedures are in place.	Complete	80%	
2.3	There is a complaints policy and procedure in place.	Complete	95%	
2.4	Whistle Blowing" procedures for staff to raise safeguarding concerns against colleagues or managers are clearly understood and correctly followed where required.	Complete	90%	
2.5	There is a procedure in place for managing abuse of vulnerable adults allegations made against staff.	Complete	100%	
2.6	For those aged 16 and over the organisation can demonstrate that consideration of Mental Capacity Act is an integral part of relevant decision making and the safeguarding processes.	Complete	60%	
2.7	For those aged 18 and over the organisation can demonstrate that consideration of Deprivation of Liberty Safeguards (DoLS) is an integral part of relevant decision making and the safeguarding processes. (N/A)	Complete	N/A	
3	SAFER RECRUITMENT		88%	High
3.1	The organisation has a safer recruitment policy in place .	Complete	100%	
3.2	Human resources and recruiting staff follow safe recruitment practices.	Complete	100%	
3.3	The organisation provides specific training on safe recruitment.	Complete	100%	
3.4	The organisation can demonstrate that agencies commissioned to provide services have safer recruitment in place.	Complete	50%	
4	SERVICE DEVELOPMENT		87%	High
4.1	Service development is informed, where appropriate, by the views of vulnerable adults, their relatives and carers	Complete	95%	
4.2	The organisation has an effective strategy in relation to domestic abuse and violence	Complete	100%	

Allocated Audits		Status	Score	Grade
4.3	The organisation has an effective strategy in relation to honour based abuse (HBA) - this includes forced marriage (FM) and female genital mutilation (FGM).	Complete	100%	
4.4	The organisation has an effective strategy in relation to Prevent (Part 4 of 'CONTEST' the governments counter terrorism strategy).	Complete	70%	
4.5	The organisation has an effective strategy in relation to modern slavery.	Complete	70%	
4.6	The organisation has implemented the findings of the DH publication - Positive and Proactive Care: reducing the need for restrictive interventions (N/A)	Complete	N/A	
5	LEARNING, DEVELOPMENT AND STAFF SUPPORT		92%	Very High
5.1	Safeguarding is covered effectively within inductions for all staff.	Complete	100%	
5.2	There is effective supervision and support for staff engaged in safeguarding vulnerable adults work.	Complete	75%	
5.3	Safeguarding learning and development opportunities are provided to all staff at a level appropriate to their role.	Complete	90%	
5.4	The organisation ensures that all safeguarding training is suitably quality assured.	Complete	100%	
5.5	Learning from domestic homicide reviews (DHRs), serious incidents (SIs) and other safeguarding reviews and audits is disseminated and acted upon effectively.	Complete	85%	
5.6	The organisation responds to and learns from national and local developments and guidance relating to safeguarding vulnerable adults.	Complete	100%	
6	PREVENTATIVE WORK AND INTER-AGENCY WORKING		88%	High
6.1	The organisation effectively identifies vulnerable adults who would benefit from preventative work.	Complete	80%	
6.2	Staff in contact with vulnerable adults know the criteria for making a safeguarding adults referral to social care.	Complete	100%	
6.3	Staff contribute fully when required with inter-agency abuse of vulnerable adult cases.	Complete	85%	
7	INFORMATION-SHARING		100%	Very High
7.1	The organisation has a policy on information-sharing relating to safeguarding that is accessible to staff.	Complete	100%	
7.2	Staff are aware who they should go to should they require clarification on information-sharing.	Complete	100%	
7.3	The organisation effectively shares information with other professionals to support early identification and assessment of need as guided by national policy.	Complete	100%	
7.4	Records relating to service users are retained and destroyed safely.	Complete	100%	